



Below are the necessary requirements for Compliance Officer (CO)/Money Laundering Reporting Officer (MLRO) Applicants

- Notarized Copies of Professional and Educational Qualifications
- An Organizational Chart showing direct access to the Regulated Entity's Board and to whom the Compliance Officer/Money Laundering Reporting Officer will report
- A Police Certificate or sworn affidavit (where a certificate is not issued) as to the non-criminal record of the applicant for the past five (5) years
- Two (2) original letters of reference:
 - One (1) Professional Letter of Reference (from Lawyer, Accountant or other Professional)
 - Bank Letter from a Recognized Bank
- Résumé or Curriculum Vitae
 - Outlining the relevant qualifications and experience of the proposed CO/MLRO
 - Including the names, address and telephone numbers of previous employers
- Two (2) Copies of Notarized Government issued picture identification:
 - Primary ID – Passport
 - Secondary IDs – National Id, Social Security Card or Driver's Licence
- Non – Refundable Application Fee of EC\$150.00
- Completion of the Application for the Approval of the Appointment of the Compliance Officer/Money Laundering Reporting Officer
- Copies of AML/CFT/CPF training certificates